

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	8591410
<b>Application Number:</b>	10713126
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9585
<b>Title of Invention:</b>	System and method for controlling markets during a stop loss trigger
<b>First Named Inventor/Applicant Name:</b>	James Farrell
<b>Customer Number:</b>	49664
<b>Filer:</b>	Ryan M. Gleitz/Nkosi Harvey
<b>Filer Authorized By:</b>	Ryan M. Gleitz
<b>Attorney Docket Number:</b>	4672/310
<b>Receipt Date:</b>	08-OCT-2010
<b>Filing Date:</b>	14-NOV-2003
<b>Time Stamp:</b>	16:38:15
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	4672_310_4th_Suppl_IDS_Transmittal_1.PDF	42359 663540c79268ad118d1fe3afe95357591e1 114e	no	1

### Warnings:

### Information:

2	Transmittal Letter	4672_310_4th_Suppl_IDS_1. PDF	73289 f5b41a932a371f608be11b292964a227303 71fa6	no	2
<b>Warnings:</b>					
<b>Information:</b>					
3	Information Disclosure Statement (IDS) Filed (SB/08)	4672_310_4th_Suppl_1449_1. PDF	34711 6b128ec83f38725b2b449662c449c631067 60757	no	1
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
4	NPL Documents	JPOfficeAction_1.PDF	103442 83bd719a2b91adc4edb1e192fb3da42414 742ee	no	3
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>				253801	
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b>New Applications Under 35 U.S.C. 111</b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b>National Stage of an International Application under 35 U.S.C. 371</b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b>New International Application Filed with the USPTO as a Receiving Office</b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>					